

**Notification of the Bank of Thailand**  
**Re: Fee and Fine for Intraday Liquidity Facilities Usage**

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**1. Rationale and Necessity**

To define fee and fine in respect with the Intraday Liquidity Facilities Usage, given that the Bank of Thailand uses the service of Central Depository to maintain the debt instruments account at Thailand Securities Depository (TSD) Co., Ltd., as custodian for financial institutions in benefit of using the Intraday Liquidity Facilities.

**2. Legitimate Authority**

By virtue of the provision of Article 5 (1) of *the Bank of Thailand Regulation on Purchase of Debt Instruments under Repurchase Contract for Intraday Liquidity Facilities*

**3. Scope of Enforcement**

This notification shall be enforced with financial institutions which agree to apply for benefits and binding conditions in accordance with *the Bank of Thailand Regulation on Purchase of Debt Instruments under Repurchase Contract for Intraday Liquidity Facilities*.

**4. Content**

1. Refer to this notification

“BOT” refers to the Bank of Thailand.

“Central Depository” refers to Thailand Securities Depository (TSD) Co., Ltd.,

“BOT Debt Instruments Account for Intraday Liquidity Facilities” refers to Debt Instruments Account opened by the BOT at TSD as custodian for financial institutions to deposit debt instruments for Intraday Liquidity Facilities.

“Debt Instruments Sub-account for Intraday Liquidity Facilities” refers to debt instruments account of each financial institution under an application system or custody by BOT for posting deposit and withdrawal of debt instruments, sales and/or repurchase of debt instruments in said account for Intraday Liquidity Facilities.

2. The Bank of Thailand hereby set the fee rate on posting of transaction or balance in “Debt Instruments Sub-account for Intraday Liquidity Facilities” as same as the rate charged to the Bank of Thailand by Central Depository as follows:

(1) Fee for deposit, withdraw, transfer and receive of debt instruments

Baht/transaction/ISIN	
<b>Type of Transaction</b>	<b>Fee</b>
1. Deposit scrip into “Debt Instruments Sub-account for Intraday Liquidity Facilities”, including the case of deposit debt instruments resulting from un-pledging process for using credit balance from Interbank Cheque Clearing Position	10
2. Withdraw debt instruments from “Debt Instruments Sub-account for Intraday Liquidity Facilities” in order to issue scrip, including the case of withdraw debt instruments resulting from pledging process for using credit balance from Interbank Cheque Clearing Position	65
3. Transfer or Receive of debt instruments for “Debt Instruments Sub-account for Intraday Liquidity Facilities”	10

2. Custody Fee for debt instruments which financial institutions deposit under the “BOT Debt Instruments Account for Intraday Liquidity Facilities” at Central Depository. The custody fee shall be calculated on monthly basis by referring balance on face value of debt instruments maintained in “Debt Instruments Sub-account for Intraday Liquidity Facilities” at the end of month as follow:

<b>Face Value Balance</b>	<b>Rate (Baht) per Million BAHT</b>
Not exceed 30,000 million BAHT	0.75
Exceed 30,000 million BAHT but not exceed 50,000 million BAHT	0.50
exceed 50,000 million BAHT	0.25

Balance of debt instruments at the end of the month shall be calculated from Face Value and the Bank of Thailand shall charge custody fee to financial institutions which have balance in “Debt Instruments Sub-account for Intraday Liquidity Facilities” at the end of November 2006 onwards.

3. The Bank of Thailand shall impose the fine on financial institution in the same amount charged to the Bank of Thailand by Central Depository, if proven that the fine resulting from financial institution sent the instruction to transfer debt instruments from “Debt Instruments Sub-account for Intraday Liquidity Facilities” to debt instruments at Central Depository and that instruction was denied from Central Depository due to error of financial institution.

4. The Bank of Thailand shall debit deposit account of financial institutions at the Bank of Thailand to settle for fee or fine on the 7<sup>th</sup> day of subsequent month.

**Effective Date** From 15 May B.E. 2549 onwards

**Announcement Date** 9 May B.E. 2549

**Signed by**

(M.R. Pridiyathorn Devakula)

Governor

Bank of Thailand