**Profile and Details of an Auditor**

1. Name - Surname ……………………………….……………..……………………………………………..………
Birthdate (DD/MM/YY)…………………………………Age (years) …………………………….….………
Mobile phone number……………………………Highest level of education ……………….....
Current address ……………………………………………………………………………………………………….
2. License number ………………………………. License issuing date ………………………………….
Name of the audit firm that an auditor works for ………………………………………..………..
Address of the audit firm……………………………………………………………………………………………
Office phone number …………………………………………E-mail……………………………………………
Position of an auditor in the audit firm ….…………………………………………………………………
3. Type of audit works ( ) Full-time

( ) Part-time; place of full-time work and position
(specify) …………………………………………………………………....

Approximate time for audit works ……………… hours per week

1. Marriage status ( ) Single ( ) Married ( ) Widowed
Name of spouse ……………………………………………………………………………………………………..
Place of work of spouse ………………………………………………………………………………………..
Work position of spouse ……………………………………………………..…………………………………
Details of children / adopted children:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | Name of children/ adopted children | Birthdate (DD/MM/YY) | Age (years) | Place of work |
| (1) | …………………………………………………………….. | …………………….. | ………….. | …………………….. |
| (2) | …………………………………………………………….. | …………………….. | ………….. | …………………….. |
| (3) | …………………………………………………………….. | …………………….. | ………….. | …………………….. |

1. Other occupations …………………………………………………………………………………………………………..
2. Has audited (name of bank / company) ………………………………………………………..
- Year of signing in the past 5 years : accounting period …………….……….., total of …………….years
- Year of auditing but not signing in the past 5 years : accounting period ………………….., total of ……….years
which the auditor can audit and sign in the audit report of the bank / company for the next ……………accounting periods (since the required cooling-off period as specified by the regulations relating to the supervision of an auditor of that country)
3. Audit work profile of an auditor[[1]](#footnote-1) (please specify a list of financial institutions or other financial businesses[[2]](#footnote-2) that such auditor has signed to certify their financial statements for the past 5 years[[3]](#footnote-3))
………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………………
(If there is more details, please specify them in a separate enclosed)
4. Number of assistants to an auditor to audit (name of bank / company)………………………
in accounting period ……………..…… for a total of ……………… persons, as follows:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | Name-Surname | License number (if any) | Education | Position | Starting date of auditing  | Type of work (Full-time / part-time) | Phone number | E-mail |
| (1) | …………… | …………… | ………… | ……………. | …………. | ……………… | ……………. | ……………… |
| (2) | …………… | …………… | ………… | ……………. | …………. | ……………… | ……………. | ……………… |
| (3) | …………… | …………… | ………… | ……………. | …………. | ……………… | ……………. | ……………… |
| (4) | …………… | …………… | ………… | ……………. | …………. | ……………… | ……………. | ……………… |

I hereby certify that the information given above is true and correct.

(………………………………………)
License number.…………………………….

1. In case of requesting for an approval of an auditor that has already been approved by the Bank of Thailand, only changes in audit work profile of such auditor shall be specified [↑](#footnote-ref-1)
2. Other financial businesses refer to financial business according to the Bank of Thailand’s regulations on structure and scope of business of financial business groups [↑](#footnote-ref-2)
3. In case of requesting for an approval of an auditor that has not yet been approved by the Bank of Thailand, please specify as follows:

Audit work profile both with and without signing in the audit reports of financial institutions or other financial businesses in the past 5 years and

Audit work profile with signing in the audit reports of other entities, which are not financial institutions or other businesses financial businesses, in the past 5 years [↑](#footnote-ref-3)