

Nonresident Ultimate Beneficiary Owner (NR UBO)

Debt Security Holdings

Classification Document

**Document information**

**Revision history**

| Version number | Released Date | Effective Date | Summary of changes | Revision marks |
| --- | --- | --- | --- | --- |
| **1.0** | **30 September 2016** | **1 January 2017** | **First version** | **No** |
| **2.0** | **12 July 2019** | **1 July 2019** | **Add Possible value for Unique ID Type** | **Yes** |

Table of Contents

[1. Corporation Type 4](#_Toc422237988)

[2. Country Id 7](#_Toc422237989)

[3. Nonresident Institutional Sector 18](#_Toc422237990)

[4. Unique Id Type 19](#_Toc422237991)

|  |  |
| --- | --- |
| **Classification Name:** | Corporation Type |

| **Code** | **Value** | **Description** |
| --- | --- | --- |
| 110 | The Bank of Thailand | Thai central bank. |
| 121 | Domestically-registered Commercial Banks | Thai commercial banks, Thai retail banks, foreign bank subsidiaries.  Excluding overseas branches of Thai banks. |
| 122 | Branches of Foreign Banks | Branches of foreign banks and international banking facilities. |
| 123 | Specialized Financial Institution |  |
| 124 | Finance Company |  |
| 125 | Other Depository Financial Institution | Credit foncier companies, thrift and credit co-operatives, federation of thrift and credit co-operatives, credit unions and money market funds. |
| 132 | Securities Company |  |
| 133 | Civil Services Pension Fund |  |
| 134 | Provident Fund |  |
| 135 | Nonfinancial Market Mutual Fund | A mutual fund in a form of collective investment that raises money by selling shares (units) of the fund to the public and invests such money in stocks, bonds, other financial assets and/or other investment vehicles. Mutual funds provide benefit to their retail investors having small amount of money to invest in the capital market. This excludes money market funds. |
| 136 | Insurance Company | A company engaged in the business of providing general insurance, disaster insurance or health insurance. |
| 138 | Life Insurance Company | A company engaged in the business of providing life insurance. |
| 137 | Other Financial Institution | Representative offices of foreign banks, Financial Institutions Development Fund, deposit insurance agencies, asset management companies, mutual fund management companies, secondary mortgage corporations, small industry credit guarantee corporations, pawn shops, hire purchase companies, leasing companies, Stock Exchange of Thailand, Securities and Exchange Commission, Thailand Futures Exchange, The Thailand Securities Depository, Thai Bond Market Association, non-bank businesses, companies (non-bank) undertaking personal loan businesses, credit card companies (non-bank), money changer, and specialized non deposit-taking financial institutions. |
| 141 | Central Government | The Royal Thai Government, ministries, bureaus, departments, public educational institutions and public hospitals, the Royal Thai Embassy, the Bureau of the Royal Household, non-budgetary fund, and state-owned non-profit enterprises run by government. |
| 142 | Social Security |  |
| 150 | Local Government | Bangkok Metropolitan Administration (BMA), Pattaya City, municipalities, sanitation districts, provincial administrative organizations, sub-district administrative organizations, schools or hospitals under BMA, schools or nursing homes under local administrative organizations, and local government funds including municipal development fund and fund for municipal affairs. |
| 160 | Public Nonfinancial Corporation | State-owned enterprise and government organization as well as state-owned enterprise operated as a company that the government or other state-owned enterprise holds more than 50 percent stake in its total capital. |
| 170 | Other Nonfinancial Corporation | Limited partnerships, limited companies, public limited companies, holding companies, private  educational institutions and private hospitals, as well as organizations with juristic person status, the Crown Property Bureau, or juristic persons established  under specific law (such as joint ventures, condominium juristic persons, international organization with head office located in Thailand), or government organizations or enterprise that the government owns and holds less than 50 percent stake in its total capital, as well as closed financial institutions which still hold juristic person status, cooperatives other than thrift and credit cooperatives (such as agricultural cooperatives, consumer cooperatives, fishery cooperatives, etc.), and private funds (owned by juristic persons). |
| 180 | Residents | Individuals, group of persons, private educational institutions or private hospitals (owned by individuals), private businesses which do not operated as juristic persons, and private funds (owned by individuals). |
| 190 | Non-profit Organization Serving Household | Charitable organizations, associations, clubs, foundations, monasteries, religious places, churches, mosques, the Lawyers Council of Thailand, chamber of commerce, political parties, private educational institutions or private hospitals (established by associations, clubs, or foundations), and private funds (owned by non-profit institutions). |
| 200 | Nonresidents as Nonfinancial Corporations | Juristic person established under foreign law (such as governments, government organizations, international organizations, foreign embassies, limited companies, etc.), and private funds (owned by nonresident juristic persons). |
| 201 | Nonresidents as Individuals | Individuals who do not hold Thai nationality and are residents of foreign countries, and private funds (owned by nonresident individuals). |
| 202 | Nonresidents as Financial Institutions | Financial institutions in foreign countries, overseas branches of Thai banks, and private funds (owned by nonresident financial institutions). |
| 203 | Other Nonresidents | Other nonresidents include foundations, associations, and clubs that are residents of foreign countries, and private funds (owned by such nonresidents). |

|  |  |
| --- | --- |
| **Classification Name:** | Country Id |

| **Code** | **Value** |
| --- | --- |
| AD | ANDORRA |
| AE | UNITED ARAB EMIRATES |
| AF | AFGHANISTAN |
| AG | ANTIGUA AND BARBUDA |
| AI | ANGUILLA |
| AL | ALBANIA |
| AM | ARMENIA |
| AO | ANGOLA |
| AQ | ANTARCTICA |
| AR | ARGENTINA |
| AS | AMERICAN SAMOA |
| AT | AUSTRIA |
| AU | AUSTRALIA |
| AW | ARUBA |
| AX | ALAND ISLANDS |
| AZ | AZERBAIJAN |
| BA | BOSNIA AND HERZEGOVINA |
| BB | BARBADOS |
| BD | BANGLADESH |
| BE | BELGIUM |
| BF | BURKINA FASO |
| BG | BULGARIA |
| BH | BAHRAIN |
| BI | BURUNDI |
| BJ | BENIN |
| BL | SAINT-BARTHELEMY |
| BM | BERMUDA |
| BN | BRUNEI DARUSSALAM |
| BO | BOLIVIA, PLURINATIONAL STATE OF |
| BQ | BONAIRE, SAINT EUSTATIUS AND SABA |
| BR | BRAZIL |
| BS | BAHAMAS |
| BT | BHUTAN |
| BV | BOUVET ISLAND |
| BW | BOTSWANA |
| BY | BELARUS |
| BZ | BELIZE |
| CA | CANADA |
| CC | COCOS (KEELING) ISLANDS |
| CD | CONGO, THE DEMOCRATIC REPUBLIC OF THE |
| CF | CENTRAL AFRICAN REPUBLIC |
| CG | CONGO |
| CH | SWITZERLAND |
| CI | COTE D' IVOIRE |
| CK | COOK ISLANDS |
| CL | CHILE |
| CM | CAMEROON |
| CN | CHINA |
| CO | COLOMBIA |
| CR | COSTA RICA |
| CU | CUBA |
| CV | CAPE VERDE |
| CW | CURACAO |
| CX | CHRISTMAS ISLAND |
| CY | CYPRUS |
| CZ | CZECH REPUBLIC |
| DE | GERMANY |
| DJ | DJIBOUTI |
| DK | DENMARK |
| DM | DOMINICA |
| DO | DOMINICAN REPUBLIC |
| DZ | ALGERIA |
| EC | ECUADOR |
| EE | ESTONIA |
| EG | EGYPT |
| EH | WESTERN SAHARA |
| ER | ERITREA |
| ES | SPAIN |
| ET | ETHIOPIA |
| FI | FINLAND |
| FJ | FIJI |
| FK | FALKLAND ISLANDS (MALVINAS) |
| FM | MICRONESIA (FEDERATED STATES OF) |
| FO | FAROE ISLANDS |
| FR | FRANCE |
| GA | GABON |
| GB | UNITED KINGDOM |
| GD | GRENADA |
| GE | GEORGIA |
| GF | FRENCH GUIANA |
| GG | GUERNSEY, C.I. |
| GH | GHANA |
| GI | GIBRALTAR |
| GL | GREENLAND |
| GM | GAMBIA |
| GN | GUINEA |
| GP | GUADELOUPE |
| GQ | EQUATORIAL GUINEA |
| GR | GREECE |
| GS | SOUTH GEORGIA AND SOUTH SANDWICH ISLANDS |
| GT | GUATEMALA |
| GU | GUAM |
| GW | GUINEA-BISSAU |
| GY | GUYANA |
| HK | HONG KONG |
| HM | HEARD AND MCDONALD ISLANDS |
| HN | HONDURAS |
| HR | CROATIA |
| HT | HAITI |
| HU | HUNGARY |
| ID | INDONESIA |
| IE | IRELAND |
| IL | ISRAEL |
| IM | ISLE OF MAN |
| IN | INDIA |
| IO | BRITISH INDIAN OCEAN TERRITORY |
| IQ | IRAQ |
| IR | IRAN (ISLAMIC REPUBLIC OF) |
| IS | ICELAND |
| IT | ITALY |
| JE | JERSEY, C.I. |
| JM | JAMAICA |
| JO | JORDAN |
| JP | JAPAN |
| KE | KENYA |
| KG | KYRGYZSTAN |
| KH | CAMBODIA |
| KI | KIRIBATI |
| KM | COMOROS |
| KN | SAINT KITTS AND NEVIS |
| KP | KOREA, DEMOCRATIC PEOPLE'S REPUBLIC OF |
| KR | KOREA, REPUBLIC OF |
| KW | KUWAIT |
| KY | CAYMAN ISLANDS |
| KZ | KAZAKHSTAN |
| LA | LAO PEOPLE'S DEMOCRATIC REPUBLIC |
| LB | LEBANON |
| LC | SAINT LUCIA |
| LI | LIECHTENSTEIN |
| LK | SRI LANKA |
| LR | LIBERIA |
| LS | LESOTHO |
| LT | LITHUANIA |
| LU | LUXEMBOURG |
| LV | LATVIA |
| LY | LIBYA |
| MA | MOROCCO |
| MC | MONACO |
| MD | MOLDOVA, REPUBLIC OF |
| ME | MONTENEGRO , REPUBLIC OF |
| MF | SAINT-MARTIN (FRENCH PART) |
| MG | MADAGASCAR |
| MH | MARSHALL ISLANDS |
| MK | MACEDONIA, THE FORMER YUGOSLAV REPUBLIC OF |
| ML | MALI |
| MM | MYANMAR |
| MN | MONGOLIA |
| MO | MACAO |
| MP | NORTHERN MARIANA ISLANDS |
| MQ | MARTINIQUE |
| MR | MAURITANIA |
| MS | MONTSERRAT |
| MT | MALTA |
| MU | MAURITIUS |
| MV | MALDIVES |
| MW | MALAWI |
| MX | MEXICO |
| MY | MALAYSIA |
| MZ | MOZAMBIQUE |
| NA | NAMIBIA |
| NC | NEW CALEDONIA |
| NE | NIGER |
| NF | NORFOLK ISLAND |
| NG | NIGERIA |
| NI | NICARAGUA |
| NL | NETHERLANDS |
| NO | NORWAY |
| NP | NEPAL |
| NR | NAURU |
| NU | NIUE |
| NZ | NEW ZEALAND |
| OM | OMAN |
| PA | PANAMA |
| PE | PERU |
| PF | FRENCH POLYNESIA |
| PG | PAPUA NEW GUINEA |
| PH | PHILIPPINES |
| PK | PAKISTAN |
| PL | POLAND |
| PM | SAINT PIERRE AND MIQUELON |
| PN | PITCAIRN |
| PR | PUERTO RICO |
| PS | PALESTINIAN TERRITORY, OCCUPIED |
| PT | PORTUGAL |
| PW | PALAU |
| PY | PARAGUAY |
| QA | QATAR |
| RE | REUNION |
| RO | ROMANIA |
| RS | SERBIA, REPUBLIC OF |
| RU | RUSSIAN FEDERATION |
| RW | RWANDA |
| SA | SAUDI ARABIA |
| SB | SOLOMON ISLANDS |
| SC | SEYCHELLES |
| SD | SUDAN |
| SE | SWEDEN |
| SG | SINGAPORE |
| SH | SAINT HELENA |
| SI | SLOVENIA |
| SJ | SVALBARD AND JAN MAYEN ISLANDS |
| SK | SLOVAKIA |
| SL | SIERRA LEONE |
| SM | SAN MARINO |
| SN | SENEGAL |
| SO | SOMALIA |
| SR | SURINAME |
| SS | SOUTH SUDAN |
| ST | SAO TOME AND PRINCIPE |
| SV | EL SALVADOR |
| SX | SINT MAARTEN (DUTCH PART) |
| SY | SYRIAN ARAB REPUBLIC |
| SZ | SWAZILAND |
| TC | TURKS AND CAICOS ISLANDS |
| TD | CHAD |
| TF | FRENCH SOUTHERN TERRITORIES |
| TG | TOGO |
| TH | THAILAND |
| TJ | TAJIKISTAN |
| TK | TOKELAU |
| TL | TIMOR-LESTE |
| TM | TURKMENISTAN |
| TN | TUNISIA |
| TO | TONGA |
| TR | TURKEY |
| TT | TRINIDAD AND TOBAGO |
| TV | TUVALU |
| TW | TAIWAN |
| TZ | TANZANIA, UNITED REPUBLIC OF |
| UA | UKRAINE |
| UG | UGANDA |
| UM | UNITED STATES MINOR OUTLYING ISLANDS |
| US | UNITED STATES |
| UY | URUGUAY |
| UZ | UZBEKISTAN |
| VA | HOLY SEE (VATICAN CITY STATE) |
| VC | SAINT VINCENT AND THE GRENADINES |
| VE | VENEZUELA, BOLIVARIAN REPUBLIC OF |
| VG | VIRGIN ISLANDS, BRITISH |
| VI | VIRGIN ISLANDS, U.S. |
| VN | VIET NAM |
| VU | VANUATU |
| WF | WALLIS AND FUTUNA ISLANDS |
| WS | SAMOA |
| YE | YEMEN |
| YT | MAYOTTE |
| ZA | SOUTH AFRICA |
| ZM | ZAMBIA |
| ZW | ZIMBABWE |

|  |  |
| --- | --- |
| **Classification Name:** | Nonresident Institutional Sector |

| **Code** | **Value** | **Description** |
| --- | --- | --- |
| 468001 | Asset Management | Unit trust funds, mutual funds, bond funds, income funds, investment/asset/fund management companies, bank-backed/non-bank backed asset management, wealth management funds, private trusts |
| 468002 | Other depository corporations | Commercial banks, special financial institutions, finance companies, credit unions, financial cooperatives, overseas branches of Thai banks |
| 468003 | Central Bank | Central bank, reserve bank or monetary authority |
| 468004 | Government | Sovereign fund, federal fund, state fund, municipal fund, government-related organization/council, international organization |
| 468005 | Other Financial corporations | Credit corporations, property financiers, mortgage corporations, leasing and factoring companies |
| 468006 | Nonfinancial corporations | Associations, non-banking private sectors, foundations, endowment funds, limited partnerships, limited companies, public limited companies, holding companies |
| 468007 | Insurance Companies | Life/general insurance companies, insurance funds, social insurance organizations |
| 468008 | Nominees/Custodians | Securities depositories, securities services, custodian banks, trustees |
| 468009 | Pension Funds | Retirement funds, employee provident funds, social security funds, pension schemes, government pension funds |
| 468010 | Individual | A person |

|  |  |
| --- | --- |
| **Classification Name:** | Unique Id Type |

| **Code** | **Value** | **Description** |
| --- | --- | --- |
| 324001 | Personal Id |  |
| 324002 | Passport Number |  |
| 324003 | Tax Id |  |
| 324004 | Juristic Id |  |
| 324005 | BOT Assigned Code |  |
| 324013 | Other Juristic Reference Id |  |
| 324014 | FI Assigned Code |  |
| 324006 | Government Organization Id |  |
| 324007 | FI Code |  |
| 324008 | Swift Code |  |
| 324009 | Overseas Individual Id |  |
| 324010 | Overseas Juristic Id |  |
| 324011 | Foreign Organization or Foreign Government Id |  |
| 324017 | Legal Entity Identifier (LEI) |  |
| 324012 | Others |  |