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**Bank of Thailand Notification**  
**No. SorRorKhor. 11/2559**  
**Re: Submission of Reports to the Bank of Thailand**

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**1. Rationale**

The Bank of Thailand, by virtue of the power conferred by Section 71 of the Financial Institution Business Act B.E.2551, has issued the Bank of Thailand Notification No. SorRorKhor. 1/2559 Re: Submission of Reports to the Bank of Thailand dated 14 January 2016 (B.E.2559), where financial institutions are instructed to submit reports to the Bank of Thailand in the “XML Data Sets” through the electronic platform in compliance with methods and regulations as prescribed in the Bank of Thailand Regulation Re: Submission of Information Using Electronic Method Services with respect to Data Management System of the Bank of Thailand B.E.2546.

The Bank of Thailand has revised the XML Data Sets under the DMS Enhancement Project 2016 (B.E.2559) in response to the changes of regulations and financial transactions. Significance of changes is as follows:

**Commercial Banks**

Revising the classification of 8 following Data Sets:

1. Deposit Classified by Type of Depositor (DS\_DCD)
2. Balance Sheet (DS\_BLS)
3. Financial Position Statement\_Conso (DS\_FPSC)
4. Profit and Loss (DS\_PNL)
5. Comprehensive Income Statement\_Conso (DS\_CISC)
6. Partial Comprehensive Income Statement\_Conso (DS\_PCSC)
7. Credit Card Summary (DS\_CCS)
8. Card Usage Summary (DS\_CUS)

**Financial Companies**

1. Revising the classification for 3 following Data Sets:
  - 1.1 Deposit Classified by Type of Depositor (DS\_DCD)

1.2 Balance Sheet (DS\_BLS)

1.3 Profit and Loss (DS\_PNL)

2. Revising supervision regulations on capital, liquidity, and operations for 6 following Data Sets:

2.1 Capital Fund (DS\_CAP)

2.2 Credit Risk Standardized Approach (DS\_CRS)

2.3 Contingent Summary (DS\_COS)

2.4 Operational Risk (DS\_OPR)

2.5 Liquidity Assessment 1 (DS\_LQ1)

2.6 Liquidity Coverage Ratio (DS\_LCR)

3. Cancellation of the submission of Risk Weighted Assets (DS\_RWA)

Credit Foncier

1. Revising the classification for 3 following data sets:

1.1 Deposit classified by Type of Depositor (DS\_DCD)

1.2 Balance Sheet (DS\_BLS)

1.3 Profit and Loss (DS\_PNL)

2. Revising supervision regulations on capital, liquidity, and operations for 5 following Data Sets:

2.1 Capital Fund (DS\_CAP)

2.2 Risk Weighted Assets (DS\_RWA)

2.3 Operational Risk (DS\_OPR)

2.4 Liquidity Assessment 1 (DS\_LQ1)

2.5 Liquidity Coverage Ratio (DS\_LCR)

## **2. Statutory Power**

By virtue of the provision of Section 71 of the Financial Institution Business Act B.E.2551 and additional amendments, the Bank of Thailand instructs financial institutions to prepare and submit reports in accordance with the regulations as prescribed by the Bank of Thailand.

### **3. Repealed Notification**

The Bank of Thailand Notification No. SorRorKhor.1/2557 Re: Submission of Reports to the Bank of Thailand dated 16 January 2014 (B.E.2557)

### **4. Scope of Application**

This Notification shall apply to all commercial banks as prescribed in the Financial Institution Business Act.

### **5. Contents**

5.1 Financial institutions shall prepare and submit reports in XML Data Set to the Bank of Thailand as prescribed in Attachment 1 and XML Data Set Manual through electronic platform in compliance with methods and regulations prescribed in the Bank of Thailand Regulation Re: Submission of Information Using Electronic Method Services with respect to Data Management System of the Bank of Thailand B.E.2546 (Attachment 2) and thereof the amendments.

5.2 Submission of amended Data Sets shall follow the followings.

5.2.1 For Data Sets where classification is revised:

- Monthly Data Set (Attachment 1): Financial Institutions shall commence the report from ended January 2017 (B.E.2560) onwards.

- Quarterly Data Set (Attachment 1): Financial Institutions shall commence the report from ended March 2017 (B.E.2560) onwards.

5.2.2 For Data Sets where supervision regulations on capital and liquid is revised:

- Biweekly Data Set (Attachment 1): Financial Institutions shall commence the report from ended July 4, 2017 (B.E.2560) onwards.

- Monthly Data Set (Attachment 1): Financial Institutions shall commence the report from ended July 2017 (B.E.2560) onwards.

- Half-year Data Set (Attachment 1): Financial Institutions shall commence the report from ended June 2017 (B.E.2560) onwards.

5.3 Regarding the above, it shall be regarded that the Bank of Thailand has received the XML Data Set reports on the day that financial institutions submit the data through electronic platform and the submitted information is correct as it fulfills Basic Validation embedded in the Data Management System of the Bank of Thailand.

## 6. Effective Date

This Notification shall come into effect as from the following date of its publication in the Government Gazette.

**Announced on** 16 December 2016 (B.E.2559)

(Mr. Veerathai Santipraphob)  
Governor  
Bank of Thailand

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## List of XML Data Sets to be submitted by Financial Institutions

Data Set Name	Abbreviation	Frequency	Reporting FI			Deadline*
			CB	FC	CF	
<b>Operating Status Data Set (FI)</b>						
<b><u>FI Financial Position</u></b>						
Balance Sheet	DS_BLS	Monthly	√	√	√	21 days
Branch Summary <sup>1/</sup>	DS_BSM	Monthly	√			1 month
Capital Fund	DS_CAP	Monthly	√	√	√	21 days
Item Between Organization Units	DS_IBO	Monthly	√			21 days
FI Investment Position	DS_IVP	Monthly	√	√	√	21 days
Provision Summary	DS_PVS	Quarterly	√	√	√	21 days
Risk Weighted Assets	DS_RWA	Monthly			√	21 days
Total Trading Book Position	DS_TBP	Every 6 Months	√	√		21 days
Interest Rate Risk	DS_IRR	Quarterly	√	√	√	21 days
Credit Risk Standardized Approach	DS_CRS	Monthly	√	√		21 days
Credit Risk Internal Ratings-Based Approach	DS_CRI	Monthly	√			21 days
Equity Position	DS_EQP	Monthly	√	√		21 days

Data Set Name	Abbreviation	Frequency	Reporting FI			Deadline*
			CB	FC	CF	
Provision and Expected Loss	DS_PEL	Monthly	√			21 days
Contingent Summary	DS_COS	Monthly	√	√		21 days
Operational Risk	DS_OPR	Every 6 Months	√	√	√	21 days
<b><u>Arrangement Summary</u></b>						
Arrangement Summary	DS_ARS	Monthly	√	√	√	21 days
Lending Operation Progress Outstanding	DS_LOS	Quarterly	√	√	√	21 days
Lending Purpose Summary	DS_LPS	Monthly	√	√	√	21 days
Lending Summary Classified by Business	DS_LSB	Quarterly	√	√	√	21 days
Total Classified Lending Summary	DS_TCS	Monthly	√	√	√	21 days
Lending Movement Summary	DS_LMS	Quarterly	√	√	√	21 days
Trouble Debts Restructuring Summary	DS_TDR	Quarterly	√	√	√	21 days
Deposit Classified by Type of Depositor	DS_DCD	Quarterly	√	√	√	21 days
Arrangement Movement Summary	DS_AMS	Quarterly	√	√		21 days
<b><u>FI Liquidity Status</u></b>						
Liquidity Assessment 1	DS_LQ1	Fortnightly	√	√	√	10 days
Liquidity Coverage Ratio	DS_LCR	Monthly	√	√	√	21 days

Data Set Name	Abbreviation	Frequency	Reporting FI			Deadline*
			FI	FC	CF	
<b><u>FI Financial Performance</u></b>						
Net Profit Distribution	DS_PDS	Every 6 Months	√	√	√	4 months
Profit and Loss	DS_PNL	Quarterly	√	√	√	21 days
<b><u>Key Customer Position</u></b>						
Contingent Arrangement	DS_CAR	Monthly	√	√		21 days
Loan Arrangement	DS_LAR	Monthly	√	√	√	21 days
<b><u>Other FI Summary</u></b>						
Credit Card Summary	DS_CCS	Monthly	√			21 days
Card Usage Summary	DS_CUS	Monthly	√			21 days
Electronic Banking Services Summary	DS_EBS	Quarterly	√			1 month
Income and Expense by Branch <sup>1/</sup>	DS_IEB	Every 6 Months	√			21 days
Interest Rate Outstanding	DS_IRO	Quarterly	√			21 days
Interest Rate Summary	DS_IRS	Upon Changes	√	√	√	3 days
<b><u>FI Consolidation</u> <sup>2/</sup></b>						
Investment by the Parent Company Conso	DS_IPCC	Quarterly	√			3 months
Financial Position Statement Conso	DS_FPSC	Quarterly	√			3 months

Data Set Name	Abbreviation	Frequency	Reporting FI			Deadline*
			FI	FC	CF	
Comprehensive Income Statement_Conso	DS_CISC	Quarterly	√			3 months
Partial Financial Position Statement_Conso	DS_PFSC	Quarterly	√			3 months
Partial Comprehensive Income Statement_Conso	DS_PCSC	Quarterly	√			3 months
Single Lending Limit_Conso	DS_SLLC	Quarterly	√			3 months
Provision Summary_Conso	DS_PVSC	Quarterly	√			3 months
Total Trading Book Position_Conso	DS_TBPC	Quarterly	√			3 months
Interest Rate Risk_Conso	DS_IRRC	Quarterly	√			3 months
Capital Fund_Conso	DS_CAPC	Quarterly	√			3 months
Credit Risk Standardized Approach_Conso	DS_CRSC	Quarterly	√			3 months
Credit Risk Internal Ratings-Based Approach_Conso	DS_CRIC	Quarterly	√			3 months
Equity Position_Conso	DS_EQPC	Quarterly	√			3 months
Provision and Expected Loss_Conso	DS_PELC	Quarterly	√			3 months
Contingent Summary_Conso	DS_COSC	Quarterly	√			3 months
Operational Risk_Conso	DS_OPRC	Every 6 Months	√			3 months



Data Set Name	Abbreviation	Frequency	Reporting FI			Deadline*
			FI	FC	CF	
<b>Financial Markets Transaction Data Set</b>						
<b><u>Foreign Currency Position</u></b>						
Foreign Currency Position	DS_FCP	Daily	√			7 days
Foreign Currency Deposit and Investment Position	DS_DIP	Monthly	√			10 days
Foreign Currency Loan Arrangement	DS_FLA	Daily	√			7 days
Deposit Arrangement	DS_DAR	Daily	√			7 days
Foreign Exchange Arrangement	DS_FXA	Daily	√			7 days
Forward Rate Agreement Arrangement	DS_FRA	Daily	√			7 days
Futures Arrangement	DS_FTA	Daily	√			7 days
Options Arrangement	DS_OPA	Daily	√			7 days
Cross Currency and Interest Rate Swap Arrangement	DS_SWA	Daily	√			7 days
<b><u>Foreign Currency Transactions</u></b>						
FX Trading Transaction	DS_FTX	Daily	√			7 days
Loan / Deposit Arrangement Transaction	DS_LTX	Daily	√			7 days
Receive Payment Transaction	DS_PTX	Daily	√			7 days
FX Trading Transaction Under 20,000 USD Summary	DS_FTU	Daily	√			7 days

Data Set Name	Abbreviation	Frequency	Reporting FI			Deadline *
			FI	FC	CF	
Foreign Currency Transaction Summary	DS_FTS	Daily	√			7 days
<b><u>Other FM Summary</u></b>						
Export Payment Exemption	DS_EPE	Upon Occurrence	√			7 days
Instrument Issue	DS_ISI	Daily	√			7 days
Interest Rate of Foreign Currency Deposit <sup>3/</sup>	DS_IRF	Upon Changes	√			3 working days
Fee Rate of Foreign Currency Deposit <sup>3/</sup>	DS_FRF	Upon Changes	√			3 working days
Fee Rate of SWIFT <sup>3/</sup>	DS_FRW	Upon Changes	√			3 working days
Other Fee Rate of Foreign Currency Deposit <sup>3/</sup>	DS_OFF	Upon Changes	√			3 working days
<b>Common Data Set (FI/FM)</b>						
<b><u>Key Customer Position</u></b>						
Involved Party	DS_IPI	Daily / Monthly	√	√	√	Upon Changes

\*To be submitted no later than 16.30 hours and if the day falls on a holiday, the data is to be submitted on the following business day.

**Remark**

<sup>1/</sup> Excluding foreign bank branches having no more than 1 branch in Thailand

<sup>2/</sup> Excluding foreign bank branches

<sup>3/</sup> Excluding retail banks