

Citizen Guide: Bond Ownership Transfer

Organization providing service: Payment and Bond Department, Bank of Thailand

Criteria, methods, conditions (if any) for submitting a request and granting permission

Criteria :

Owner means

1. Owner who is an individual as well as an authorized person to manage debt securities which includes a legal representative of a minor, a guardian of a quasi-incompetent person, a curator of an incompetent person, or an estate administrator.
2. Owner who is a juristic person

Method for submitting a request

An individual or a juristic person, who owns a bond in scrip form and wishes to transfer ownership of the bond to another person, has to submit a Request Letter for Bond Ownership Transfer signed with same signature given to the Bank of Thailand and encloses required documents as specified in the "List of required documents".

Remarks

The time for processing the request will start counting once the officer has received and verified all required documents specified in this citizen guide.

In a case where the request letter or required documents are not complete, and/or have errors that cause the officer to be unable to consider the request, the officer will create a memorandum that specifies errors or additional documents that must be submitted. The officer and the requester (a person who makes a request) or the authorized person will sign the memorandum. A copy of the memorandum will be given to the requester or the authorized person as evidence.

The requester must correct the errors and/or submit additional documents within the time period specified in the memorandum, otherwise it will be deemed that the requester abandons the request.

The Bank of Thailand will notify the result to the requester within 7 days after the date on which the consideration of the request has been completed under Section 10 of the Licensing Facilitation Act B.E. 2558.

Service Channels

Place <i>Bond Division, Payment and Bond Department, Bank of Thailand Head Office 273 Samsen Road, Watsamphraya, Phra Nakhon District, Bangkok 10200 / Contact in person at the office</i> <i>Remark: Official holidays mentioned above are financial institutions holidays designated by the Bank of Thailand</i>	Opening hours: <i>Monday through Friday (except official holidays) from 08:30 to 16:30 hrs. (lunch break at noon)</i>
Place <i>Bank of Thailand Northern Region Office 68/3 Chotana Road, Muang Chiang Mai District, Chiang Mai 50300 / Contact in person at the office</i> <i>Remark: Official holidays mentioned above are financial institutions holidays designated by the Bank of Thailand</i>	Opening hours: <i>Monday through Friday (except official holidays) from 08:30 to 16:30 hrs. (lunch break at noon)</i>
Place <i>Bank of Thailand Northeastern Region Office 45 Nikorn Samran Road, Muang District, Khon Kaen 40000 / Contact in person at the office</i> <i>Remark: Official holidays mentioned above are financial institutions holidays designated by the Bank of Thailand</i>	Opening hours: <i>Monday through Friday (except official holidays) from 08:30 to 16:30 hrs. (lunch break at noon)</i>

Place Bank of Thailand Southern Region Office 472 Phetkasem Road, Hat Yai District, Songkhla 90110 / Contact in person at the office <i>Remark: Official holidays mentioned above are financial institutions holidays designated by the Bank of Thailand</i>	Opening hours: Monday through Friday (except official holidays) from 08:30 to 16:30 hrs. (lunch break at noon)
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Steps, Processing time and Responsible department

Total processing time: 50 minutes

No.	Steps	Processing time	Responsible department
1)	Document verification Verify Request Letter for Bond Ownership Transfer and required documents <i>Remark: One of identification documents as specified in the "List of required documents", items 1 – 3 and 4 (juristic person)</i>	20 minutes	Payment and Bond Department
2)	Consideration Consider the completeness and correctness of the documents / transfer bond ownership and endorse the bond <i>Remark: In a case where the Bank of Thailand has found that the documents are incorrect or has doubts about the documents, the Bank may ask the requester to correct the documents, explain or clarify about the documents for consideration</i>	20 minutes	Payment and Bond Department
3)	Signing for approval/Board resolution Propose authorized signatory for approval and notify the result to the requester in case the requester waits at the BOT office <i>(Remark: -)</i>	10 minutes	Payment and Bond Department

List of required documents

No.	Document name, number of documents and additional information (if any)	Government agency that issues the document
1)	Identification card Original 1 Copy 0 Remark Original identification card is required. The Bank will retrieve information on identification document via the Department of Provincial Administration's Linkage Center system.	Department of Provincial Administration
2)	Identification card of government officer or state agency employee Original 1 Copy 0 Remark The card issued by the original affiliation must have identification number. The Bank will retrieve information on identification document via the Department of Provincial Administration's Linkage Center system.	-
3)	Passport Original 1 Copy 0	Passport Office

No.	Document name, number of documents and additional information (if any)	Government agency that issues the document
	Remark This is used for foreigner only (alien identification card can be used instead)	
4)	Juristic person certificate Original 1 Copy 0 Remark Certificate issued by the Ministry of Commerce within the past 1 month or certificate issued by government agency, Original document: The Bank will verify and return the original document.	Department of Business Development
5)	Request Letter for Bond Ownership Transfer Original 1 Copy 0 Remark -	Payment and Bond Department
6)	Bond certificate Original 1 Copy 0 Remark -	Payment and Bond Department
7)	First page of passbook savings/current account Original 0 Copy 1 Remark Certified as a true copy by the transferee	-
8)	Specimen signature of authorized person to manage debt securities Original 1 Copy 0 Remark -	Payment and Bond Department

Fee

No.	Fee details	Fee (baht / per cent)
1)	Individual <i>Remark: per bond certificate</i>	Fee 20 baht
2)	Juristic person <i>Remark: per bond certificate</i>	Fee 100 baht

Channels for making complaints / suggestions

No.	Channels for making complaints / suggestions
1)	Bank of Thailand Remark: <i>Head Office: Tel. 1213</i> <i>Southern Region Office: Tel. 0 7427 2000 ext. 4421-5</i> <i>Northeastern Region Office: Tel. 0 4333 3000 ext. 3214-9</i> <i>Northern Region Office: Tel. 0 5393 1074-8</i>
2)	Center of Public Service, Office of the Permanent Secretary Remark: No. 1, Phitsanulok Road, Dusit, Bangkok 10300 / Hotline 1111 / www.1111.go.th / P.O. Box 1111 No. 1, Phitsanulok Road, Dusit, Bangkok 10300
3)	Public Sector Anti-Corruption Operation Complaint Center

No.	Channels for making complaints / suggestions
	<p>Remark: <i>Office of Public Sector Anti-Corruption Commission (PACC)</i> - 99 Moo 4, Software Park Building 2nd floor, Chaengwattana Road, Klong Gleua, Pakkred, Nonthaburi 11120 - Hotline 1206 / Telephone 0 2502 6670-80 ext. 1900 , 1904- 7 / Fax 0 2502 6132 - www.pacc.go.th / www.facebook.com/PACC.GO.TH</p> <p>Anti-Corruption Operation Complaint Center for Foreign Investors Tel : +66 92 668 0777 /Line : Fad.pacc / Facebook : The Anti-Corruption Operation Center /Email : Fad.pacc@gmail.com</p>

Form, sample and guide for filling form

No.	Form name
1)	Form of Request Letter for Bond Ownership Transfer (Remark: -)
2)	Specimen signature of authorized person to manage debt securities (Juristic person) (Remark: -)
3)	Power of Attorney (Juristic person) (Remark: -)
4)	Power of Attorney (Remark: -)

Remark

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Infographic

No.	Form name
	No information

Information for staff

Process name: Bond Ownership Transfer

General term: Bond Ownership Transfer

Central organization as process owner: Bank of Thailand

Type of service: One-stop service process

Category of service: Registration

Laws that empower organization to grant permission or take other related actions:

1) *Ministerial Regulation Prescribing the Criteria, Methods and Conditions for the Issuance, Buying and Selling, Transfer, and Use of Debt Securities as Collateral B.E.2550, by virtue of Sections 5 and 11 of the Public Debt Management Act B.E.2548*

2) *The Bank of Thailand Act B.E.2485 as amended by the Bank of Thailand Act (No. 4), B.E. 2551*

Level of impact: General service

Service areas: Central areas, provincial areas

Rules / Agreements that determine time period: -

Time period determined by laws / requirements, etc.: 50.0 minutes

Process statistics:

Average requests per month 35

Maximum number of requests 47
Minimum number of requests 24

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